

Docket No.: 110267.201US

PATENT/OFFICIAL

Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of

STEBBINGS et al.

Serial No. 10/067,318

Filed: February 7, 2002

: Attn: Office of Initial
: Patent Examination

:
: Group Art Unit: 2876

For: SECURITY MARKING SYSTEM AND METHOD FOR MINIMIZING PIRATING OF
DATA ON DATA MEDIA INCLUDING COMPACT DISCS AND DIGITAL VERSATILE
DISCS

REQUEST FOR CORRECTED FILING RECEIPT

Honorable Commissioner for Patents
Washington, D.C. 20231

Sir:

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-referenced application. Please note that the Assignment data is incorrect. Submitted herewith is a copy the Assignment as filed with the application listing the correct Assignment data. Please correct the Assignment data as indicated in red on the attached copy of the Filing Receipt. It is requested that a corrected filing receipt be issued.

The Commissioner is hereby authorized to charge any deficiency to Deposit Account No. 08-0219. A duplicate copy of this letter is attached.

Respectfully submitted,

HALE AND DORR LLP


Iran H. Donner

Registration No. 35,120

1455 Pennsylvania Avenue, N.W.
Washington, D.C. 20004

TEL: 202.942.8585 IHD/sed

FAX: 202.942.8484

Date: 5/22/02

RECEIVED
JUN - 5 2002
TC 2800 MAIL ROOM



UNITED STATES PATENT AND TRADEMARK OFFICE

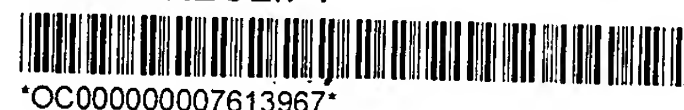
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/067,318	02/07/2002	2876	740	110267.201US3	32	3	1

24395
HALE & DORR LLP
THE WILLARD OFFICE BUILDING
1455 PENNSYLVANIA AVE, NW
WASHINGTON, DC 20004



CONFIRMATION NO. 8929
FILING RECEIPT



OC000000007613967

Date Mailed: 03/11/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

David W. Stebbings, Washington, DC;
James F. Fleming, Burke, VA;

Assignment For Published Patent Application

~~Recording Industry Association~~, Washington, DC;
Recording Industry Association of America

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 09/448,409 11/23/1999
WHICH IS A CON OF PCT/US99/14621 06/29/1999
WHICH CLAIMS BENEFIT OF 60/091,036 06/29/1998

Foreign Applications

PCT/US99/14621 06/29/1999

If Required, Foreign Filing License Granted 03/08/2002

Projected Publication Date: 06/20/2002

Non-Publication Request: No

Early Publication Request: No

Title

RECEIVED
JUN - 5 2002
TC 2800 MAIL ROOM

Security marking system and method for minimizing pirating of data on data media including compact discs and digital versatile discs

Preliminary Class

235

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

ASSIGNMENT

WHEREAS WE, David W. Stebbings of Washington, D.C., and James F. Fleming of Burke, VA, respectively, have made a certain new and useful invention as set forth in a provisional application for United States Letters Patent, entitled: SECURITY MARKING SYSTEM AND METHOD FOR MINIMIZING PIRATING OF DATA ON DATA MEDIA INCLUDING COMPACT DISCS AND DIGITAL VERSATILE DISCS, executed by us on the date of execution of this document, as shown below, and filed concurrently herewith;

AND WHEREAS, Recording Industry Association of America, (hereinafter "Assignee"), having an address of 1330 Connecticut Avenue, N.W., Suite 300, Washington, D.C. 20036, is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries that may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, We do hereby sell, assign, transfer and set over unto said Assignee, its legal representatives, successors and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned provisional application, and any non-provisional applications, continuations, continuations-in-part, divisionals, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries that may be issued for said invention;

UPON SAID CONSIDERATIONS, We hereby agree with the said Assignee that We will not execute any writing or do any act whatsoever conflicting with these presents, and that We will, at any time upon request, without further or additional consideration but at the expense of said Assignee, execute such additional assignments and other writings and do such additional acts as said Assignee may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, re-examined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chooses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of Assignor and Assignee;

The undersigned hereby grant(s) the firm of PEPPER HAMILTON LLP the power to insert on this Assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document;

AND We request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States that may be issued for said invention to said Assignee, its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

July 20th 1999
Date

July 20th 1999
Date

David W. Stebbings
David W. Stebbings

[Signature]
James F. Fleming

STATE OF District of Columbia)
) SS:
COUNTY OF _____)

On this 20th day of July, 1999, before me personally appeared David W. Stebbings, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

SEAL



Notary Public

My commission expires _____

ROBERT MORGAN
Notary Public, District Of Columbia
My Commission Expires April 30, 2004

STATE OF District of Columbia)
) SS:
COUNTY OF _____)

On this 20th day of July, 1999, before me personally appeared James F. Fleming, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

SEAL



Notary Public

My commission expires _____

ROBERT MORGAN
Notary Public, District Of Columbia
My Commission Expires April 30, 2004

HALE AND DORR LLP
COUNSELLORS AT LAW

www.haledorr.com

THE WILLARD OFFICE BUILDING
1455 PENNSYLVANIA AVENUE, N.W. • WASHINGTON, D.C. 20004-1008
202-942-8400 • FAX 202-942-8484

FILE FAX
COPY

Total Number of Pages:

Fax / Phone:

To: Corrections

1-703-746-9195

From: Susan Dickerson
202-942-8503

Date: July 9, 2002

Note: U.S. Application No. 10/067,318

For: SECURITY MARKING SYSTEM AND METHOD FOR MINIMIZING
PIRATING OF DATA ON DATA MEDIA INCLUDING COMPACT DISCS
AND DIGITAL VERSATILE DISCS

Our Reference: 110267.201US3

Please be advised that the Assignee is listed incorrectly on the published
application (2002/0074412) for the above-referenced application.

The correct assignee is Recording Industry Association of America. A copy of
the filing receipt and assignment are attached to verify this information. Please
correct the assignee information on the published application and advise when the
correction has been made.

TECHNOLOGY CENTER 2800

JUL 19 2002

RECEIVED

RECEIVED
JUL 25 2002

This facsimile transmission is confidential and may be privileged. If you are not the intended recipient, please
immediately call the sender or, if the sender is not available, call 202-942-8333, and destroy all copies of this
transmission. If the transmission is incomplete or illegible, please call the sender or, if the sender is not available,
call 202-942-8333. Thank you.

Client Matter Number: 110267.201US3

Return To: 1143

Transmitted by:

BOSTON LONDON* MUNICH* NEW YORK OXFORD* PRINCETON RESTON WALTHAM WASHINGTON
Hale and Dorr LLP is a Massachusetts Limited Liability Partnership and includes Professional Corporations * an independent joint venture law firm

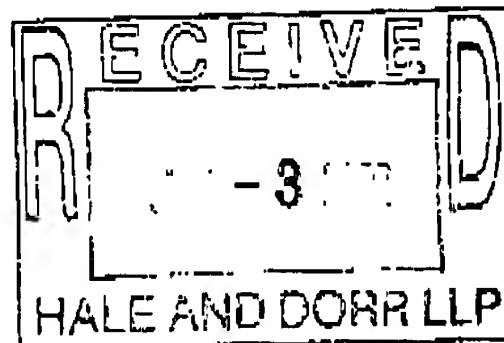
IHD



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/067,318	02/07/2002	2876	740	110267.201US3	32	3	1

24395
HALE & DORR LLP
THE WILLARD OFFICE BUILDING
1455 PENNSYLVANIA AVE, NW
WASHINGTON, DC 20004

CONFIRMATION NO. 8929

CORRECTED FILING RECEIPT



OC000000008201006

Date Mailed: 05/29/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

David W. Stebbings, Washington, DC;
James F. Fleming, Burke, VA;

Assignment For Published Patent Application

Recording Industry Association of America, Washington, DC;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 09/448,409 11/23/1999
WHICH IS A CON OF PCT/US99/14621 06/29/1999
WHICH CLAIMS BENEFIT OF 60/091,036 06/29/1998

Foreign Applications

PCT/US99/14621 06/29/1999

If Required, Foreign Filing License Granted 03/08/2002

Projected Publication Date: 06/20/2002

Non-Publication Request: No

Early Publication Request: No

Title

Security marking system and method for minimizing pirating of data on data media including compact discs and digital versatile discs

Preliminary Class

235

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Docket No.: 104706-201

ASSIGNMENT

WHEREAS WE, David W. Stebbings of Washington, D.C., and James F. Fleming of Burke, VA, respectively, have made a certain new and useful invention as set forth in a provisional application for United States Letters Patent, entitled: SECURITY MARKING SYSTEM AND METHOD FOR MINIMIZING PIRATING OF DATA ON DATA MEDIA INCLUDING COMPACT DISCS AND DIGITAL VERSATILE DISCS, executed by us on the date of execution of this document, as shown below, and filed concurrently herewith;

AND WHEREAS, Recording Industry Association of America, (hereinafter "Assignee"), having an address of 1330 Connecticut Avenue, N.W., Suite 300, Washington, D.C. 20036, is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries that may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, We do hereby sell, assign, transfer and set over unto said Assignee, its legal representatives, successors and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned provisional application, and any non-provisional applications, continuations, continuations-in-part, divisionals, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries that may be issued for said invention;

UPON SAID CONSIDERATIONS, We hereby agree with the said Assignee that We will not execute any writing or do any act whatsoever conflicting with these presents, and that We will, at any time upon request, without further or additional consideration but at the expense of said Assignee, execute such additional assignments and other writings and do such additional acts as said Assignee may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, re-examined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chooses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of Assignor and Assignee;

The undersigned hereby grant(s) the firm of PEPPER HAMILTON LLP the power to insert on this Assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document;

AND We request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States that may be issued for said invention to said Assignee, its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

July 20th 1999
Date

July 20th 1999
Date

David W. Stebbings
David W. Stebbings

James F. Fleming
James F. Fleming

Docket No.: 104706-201

STATE OF District of Columbia)
COUNTY OF _____) SS:

On this 20th day of July, 1999, before me personally appeared David W. Stebbings, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

SEAL

Notary Public

My commission expires

ROBERT MORGAN

Notary Public, District Of Columbia

My Commission Expires April 30, 2004

STATE OF District of Columbia)
COUNTY OF _____) SS:

On this 20th day of July, 1999, before me personally appeared James F. Fleming, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

SEAL

Notary Public

My commission expires

ROBERT MORGAN

Notary Public, District Of Columbia

My Commission Expires April 30, 2004